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|   |  |   |
|---|--|---|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>   |  | ATTORNEY'S DOCKET NUMBER<br><b>04785/LH</b>                         |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/JP03/07738</b>  |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/517152</b> |
| INTERNATIONAL FILING DATE<br><b>18 JUNE 2003 (18.06.2003)</b>   |  | PRIORITY DATE CLAIMED   |
| TITLE OF INVENTION<br><b>SAFETY DEVICE OF VIBRATION ROLLER WHEN MOVING BACKWARD</b>   |  |   |
| APPLICANT(S) FOR DO/EO/US<br><b>MOCHIGI, Hideki and ISHIKAWA, Tomio</b>   |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.  |  |   |
| 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.   |  |   |
| 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  |  |   |
| 4. <input checked="" type="checkbox"/> The US has been elected (Article 31).  |  |   |
| 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>  |  |   |
| 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul>  |  |   |
| 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> |  |   |
| 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |   |
| 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |   |
| 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).   |  |   |
| Items 11 to 20 below concern document(s) or information included:   |  |   |
| 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |  |   |
| 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  |  |   |
| 13. <input checked="" type="checkbox"/> A preliminary amendment.  |  |   |
| 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.   |  |   |
| 15. <input type="checkbox"/> A substitute specification.  |  |   |
| 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.  |  |   |
| 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.  |  |   |
| 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |  |   |
| 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).  |  |   |
| 20. <input checked="" type="checkbox"/> Other items or information:   |  |   |

PCT REQUEST (JAPANESE LANGUAGE); ISR;  
FORM PCT/IB/301; FORM PTO/SB/08A  
REQ. TO PUBL. ASSIGN INFO.

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**Date of Deposit: December 6, 2004**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

*N. Sahadeo*  
Nalini P. Sahadeo

| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><div style="font-size: 24pt; font-weight: bold; text-align: center;">10/517152</div>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/JP03/07738 |            | ATTORNEY'S DOCKET NUMBER<br>04785/LH  |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
|---|--------------|---|------------|---|--|--------------|--------------|--|--|-----------|--|--------|--|--------|--|--------|--|--------|--|-----------|--|-----------|--|-----------|--|--------|--|-----------|--|--------|--|-----------|--|------------------------|--------|-----------------------|--------|
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO.....\$1110.00<br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO .....\$950.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$790.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$750.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00<br><div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div> |              |   |            | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> <tr> <td style="text-align: right;">\$ 950.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ .00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ .00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ .00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ .00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 950.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 475.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 475.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ .00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 475.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ .00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 475.00</td> <td></td> </tr> <tr> <td style="text-align: right;">Amount to be refunded:</td> <td style="text-align: right;">\$ .00</td> </tr> <tr> <td style="text-align: right;">Amount to be charged:</td> <td style="text-align: right;">\$ .00</td> </tr> </table> |  | CALCULATIONS | PTO USE ONLY |  |  | \$ 950.00 |  | \$ .00 |  | \$ .00 |  | \$ .00 |  | \$ .00 |  | \$ 950.00 |  | \$ 475.00 |  | \$ 475.00 |  | \$ .00 |  | \$ 475.00 |  | \$ .00 |  | \$ 475.00 |  | Amount to be refunded: | \$ .00 | Amount to be charged: | \$ .00 |
| CALCULATIONS  | PTO USE ONLY |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
|   |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ 950.00   |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ .00  |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ .00  |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ .00  |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ .00  |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ 950.00   |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ 475.00   |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ 475.00   |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ .00  |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ 475.00   |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ .00  |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| \$ 475.00   |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| Amount to be refunded:  | \$ .00       |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| Amount to be charged:   | \$ .00       |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months<br>from the earliest claimed priority date (37 CFR 1.492(e)).   |              |   |            | \$ .00  |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA                                    | RATE       | \$ .00  |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| Total claims  | 5 - 20 =     | 0   | X \$18.00  | \$ .00  |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| Independent claims  | 1 - 3 =      | 0   | X \$88.00  | \$ .00  |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   | + \$300.00 | \$ .00  |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   |            | \$ 950.00   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced<br>by 1/2.  |              |   |            | \$ 475.00   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| <b>SUBTOTAL =</b>   |              |   |            | \$ 475.00   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest<br>claimed priority date (37 CFR 1.492(f)).  |              |   |            | \$ .00  |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| <b>TOTAL NATIONAL FEE =</b>   |              |   |            | \$ 475.00   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied<br>by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |   |            | \$ .00  |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| <b>TOTAL FEES ENCLOSED =</b>  |              |   |            | \$ 475.00   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.<br>A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit<br>Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed.<br>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not<br>be included on this form. Provide credit card information and authorization on PTO-2038.  |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed<br>and granted to restore the International Application to pending status.   |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |
| SEND ALL CORRESPONDENCE TO:<br><div style="display: flex; justify-content: space-between; align-items: flex-start; margin-top: 20px;"> <div style="width: 45%;"> <b>CUSTOMER NO. 01933</b><br/>           Leonard Holtz<br/>           Frishauf, Holtz, Goodman &amp; Chick P.C.<br/>           767 Third Avenue<br/>           25<sup>th</sup> Floor<br/>           New York NY 10017-2023         </div> <div style="width: 45%; text-align: right;"> <div style="margin-bottom: 10px;">            SIGNATURE<br/> <b>Leonard Holtz</b><br/>           NAME         </div> <div> <b>22,974</b><br/>           REGISTRATION NUMBER         </div> </div> </div>  |              |   |            |   |  |              |              |  |  |           |  |        |  |        |  |        |  |        |  |           |  |           |  |           |  |        |  |           |  |        |  |           |  |                        |        |                       |        |

10/517152

PTO/SB/122 (10-00)

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Approved for use through 10/31/2002. OMB 0651-0035  
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| <b>CHANGE OF<br/>CORRESPONDENCE ADDRESS<br/>Application</b><br><br><b>Address to:</b><br><b>Commissioner for Patents</b><br><b>P.O. Box 1450</b><br><b>Alexandria, VA 22313-1450</b> | Application Number     | DT05 Rec'd PCT/PTO 0 6 DEC 2004 |
|  | Filing Date            | Concurrently Herewith           |
|  | First Named Inventor   | H. MOCHIGI                      |
|  | Group Art Unit         |                                 |
|  | Examiner Name          |                                 |
|  | Attorney Docket Number | 04785/LH                        |

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|-----------------------------|--|-------|----------------|-----|------------|
| [X] Firm or Individual Name | FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C. |       |                |     |            |
| Address                     | 767 Third Avenue - 25th Floor          |       |                |     |            |
| Address                     |  |       |                |     |            |
| City                        | New York                               | State | NY             | ZIP | 10017-2023 |
| Country                     | U.S.A.                                 |       |                |     |            |
| Telephone                   | (212) 319-4900                         | Fax   | (212) 319-5101 |     |            |

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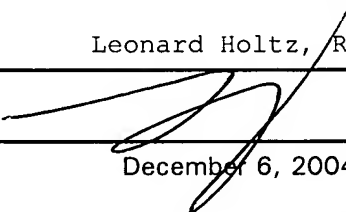
I am the:

[ ] Applicant/Inventor.

[ ] Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

[X] Attorney or Agent of record.

[ ] Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_.

|                       |   |
|-----------------------|---|
| Typed or Printed Name | Leonard Holtz, Registration No. 22,974  |
| Signature             |  |
| Date                  | December 6, 2004  |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

[ ] Total of \_\_\_\_\_ forms are submitted.

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DT05 Rec'd PCT/PTO 06 DEC 2004

Attorney Docket No. 04414/LH**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): H. MOCHIGI ET AL  
Serial No. : Nat'l Phase Appln. of  
PCT/JP03/07738  
Filed : Herewith  
For : SAFETY DEVICE OF VIBRATION ROLLER  
WHEN MOVING BACKWARD  
Art Unit : Not Yet Assigned  
Examiner : Not Yet Assigned

**REQUEST FOR PUBLICATION OF  
ASSIGNMENT INFORMATION**

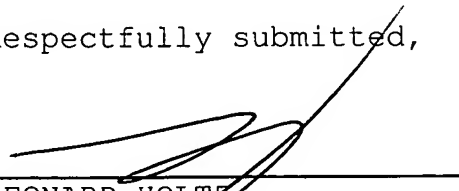
Commissioner for Patents  
P.O. Box 1450,  
Alexandria, VA 22313-1450

S I R :

It is requested that the following assignment information be  
published as part of the 18 month publication of the present  
application:

MIKASA SANGYO COL, LTD  
4-3, Sarugakucho 1-chome,  
Chiyoda-ku, Tokyo 101-0064  
Japan

Respectfully submitted,

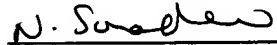
  
LEONARD HOLTE  
Reg. No. 22,974

Frishauf, Holtz, Goodman & Chick, P.C.  
767 Third Avenue - 25th Floor  
New York, New York 10017-2023  
(212) 319-4900  
Fax No. (212) 319-5101  
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Date of Deposit: December 6, 2004

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Patents, Washington, D.C. 20231

  
Nalini P. Sahadeo

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and the necessary petition for extension  
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